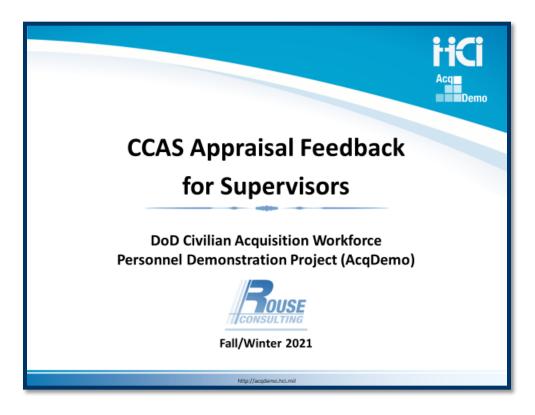
Introduction — Slide #2

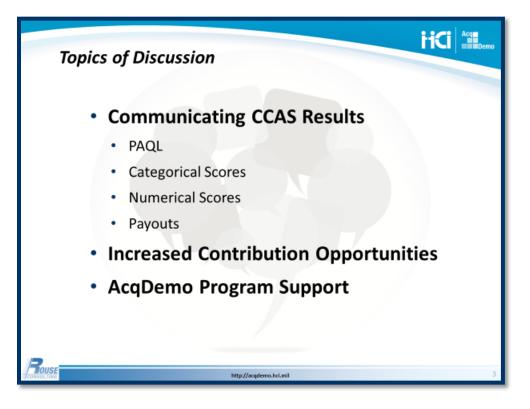


Welcome to the AcqDemo CCAS Appraisal Feedback for Supervisors eLearning course. It takes approximately 20 minutes to complete all sections.

This course is a continuation of the AcqDemo eLearning series. It complements the Giving and Receiving Feedback course, as well as the Understanding the Pay Pool Process course.

The goal of this course is to enhance supervisors understanding of giving appraisal feedback to their employees at the end of each AcqDemo appraisal cycle.

Introduction — Slide #3



Providing appraisal feedback to your employees is the third and final annual conversation you are required to have with each employee. It is held after the completion of the pay pool process in order to inform employees of their appraisal results and, if applicable, pay pool payouts.

In this course, you will be provided with details about each of the appraisal scoring and payout elements along with talking points to help prepare you for this meeting.

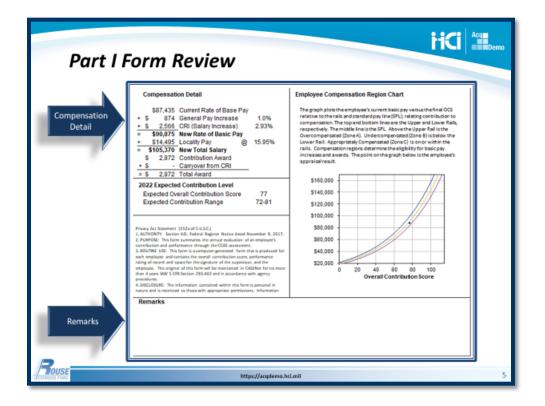
Communicating CCAS Results — Slide #4

Part I Form Review						
	Part I:	CCAS	Salary A	ppraisal Form		1
	Name: Joe Contributor	Series:	0801		Appraisa	Period:
Identifying	CAS2Net ID: 31	Broadband	Level: III		From:	1-Oct-20
	Organization: AMC/LHBB	Retained P	ay: No		To:	30-Sep-21
info	Career Path: NH	Presumptiv	ve: None	9		
	Approved By: Bob Arnold, Pay Pool Manager			Effective Date of Apprais	sal: January 1	.2019
Signatures	Supervisor Print/Sign Supervisor Print / Sign			Date Date		
	Employee Print / Sign			Date		·
	2021 Performance Details	PAQL	2021 Contr	ribution Details	Cat Score	Num Score
	S Job Achievement and/or Innovation Communication and/or Teamwork	5	S Job Achieve	ement and/or Innovation	3H	79
Appraisal	Communication and/or Teamwork	3	Job Achieve Communic Mission Su	ation and/or Teamwork	3M	76
Details	2 Mission Support	3	Mission Su	ipport Overall Cant	3M	77
	Average Raw Score	3.7	Overall Contribution Score 77 Expected Overall Contribution Score 75			
V	Performance Rating of Record	3		Expected Overall Contr		
CONSULTING		http://acqdemo.	hci.mil			

At the conclusion of the CCAS cycle and once all pay pool decisions have been approved, the last step of the CCAS process is the Annual Appraisal Discussion conducted by the supervisor with each subordinate employee. The purpose is to discuss the employee's appraisal results—the approved OCS, Performance Appraisal Quality Level and the pay pool payout decisions.

The Annual CCAS Salary Appraisal Form, the "Part I," is generated once all scores and pay adjustments are final, including any General Pay Increase (GPI) and Locality Pay Area adjustments as directed by the President with the issuance of an Executive Order. Because the Part I includes information you will discuss with your employees, it can be a useful tool to guide the conversation and ensure you cover the scores, ratings, associated payouts, and next cycle expectations.

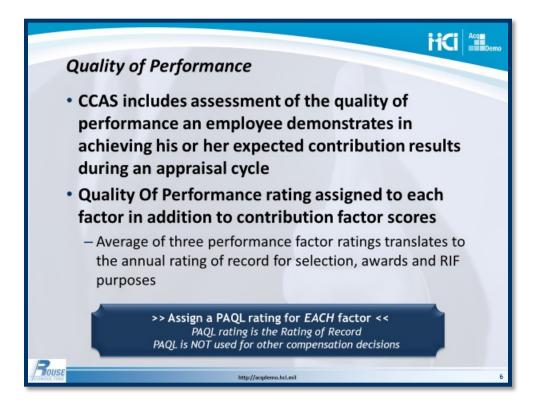
Communicating CCAS Results — Slide #5



It should be a retrospective look at lessons learned and insights that can be carried forward into the current appraisal period to improve outcomes and organizational impact. The discussion occurs in late December or early- to mid-January, upon conclusion of the pay pool process.

Let's take a closer look at each of the relevant sections of the Part I...

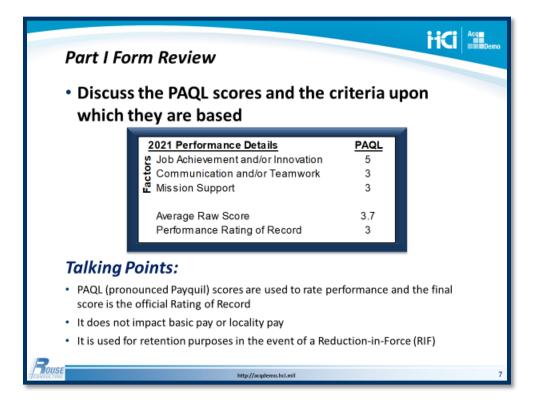
PAQL — Slide #6



AcqDemo has a Quality of Performance assessment in order to meet requirements mandated by Congress in the Fiscal Year 2017 National Defense Authorization Act (NDAA) that requires all reduction-in-force decisions to be based primarily on performance.

To appropriately capture performance, AcqDemo incorporates a performance assessment. The performance level assigned to each factor should reflect an employee's level of performance during the appraisal cycle as compared to the factor "Expected Contribution Criteria" language. A participating organization may supplement the generic criteria with additional standards that identify milestones, production due dates, or other measurable aspects of success contributing to the organization's mission and are achievable during the appraisal cycle.

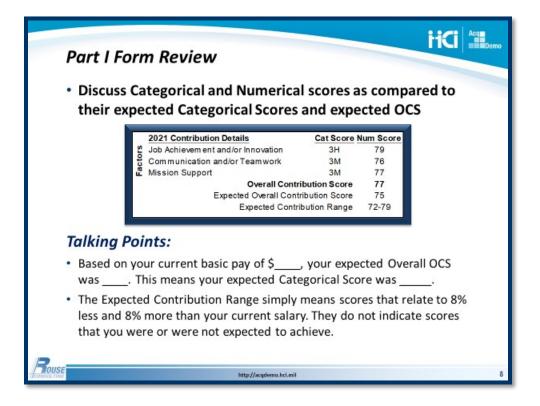
PAQL — Slide #7



As part of your submission to the pay pool, you recommended a performance appraisal level of either Level 5 – Outstanding, Level 3 – Fully Successful, or Level 1 – Unacceptable for each of the three factors for each of your AcqDemo employees. To ensure fairness and consistency across the entire pay pool, the Pay Pool Panel evaluated your recommendations and made final decisions on the level ratings.

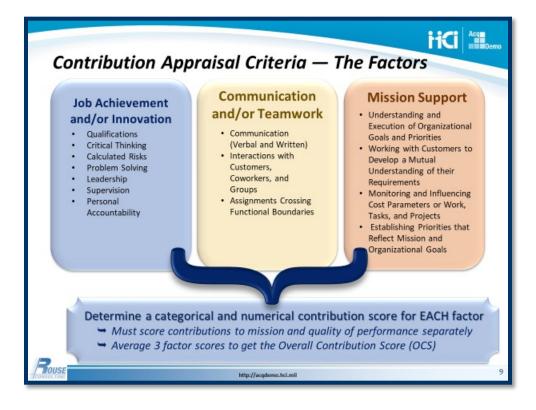
The three performance appraisal levels were then averaged to calculate the annual rating of record. An overall average of 4.3 or higher must be achieved in order to receive an overall rating of 5. If the average is less than 4.3, but no factors are rated as a 1, an overall rating of 3 is approved. If a Level 1 rating is approved for any factor, the overall rating of record will be a 1.

Explain the rational to your employee for each factor's PAQL level score, as well as how the overall rating of record was calculated.

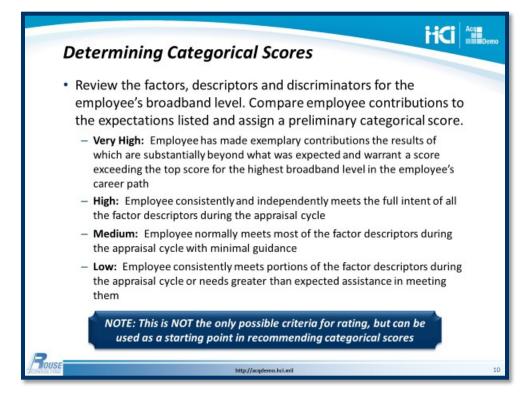


Before going on to discuss the remaining information, remind your employee of their *Expected* Overall Contribution score and the *expected* corresponding Categorical score. This will help provide perspective to the employee for other key appraisal elements.

Also, explain how the Expected Contribution Range is determined and that if the employee scores anywhere within that range, AcqDemo will consider their contributions appropriate for their current compensation level.



Once the expected scores have been discussed, move on to talking about the *awarded* Categorical Scores for each of the three factors. The awarded categorical score determines the range of numerical scores available for selection, so it is critical the appropriate categorical score be awarded.



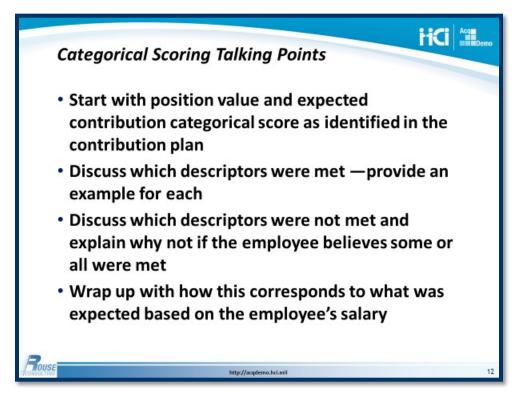
The number of factor descriptors met at the Low, Medium, High, or Very High levels may serve as a preliminary determination of the appropriate categorical score for each factor. Based on current pay and position scope and level of responsibility, not all employees should expect to meet all descriptors and achieve "High" categorical scores. Explain that in order to "meet" a descriptor, the employee must *consistently* contribute at the full intent of the descriptor level language.

Extenuating circumstances may indicate a more appropriate score, however, and the pay pool can, after careful review, assign a score not normally associated with the employee's pay and position.

Because of the breadth of salaries associated with the NH-2 broadband level, additional categorical scores and ranges have been added. Essentially, they are extensions of the Low and Medium categorical levels intended to expand categorical score offerings for NH-2 positions and are labeled "Medium Low" and "Medium High." Current pay and expected scores and ranges will indicate the appropriateness of a "Medium Low" or "Medium High" categorical score recommendation.



Contributions may not perfectly match all descriptors for a particular level and input for each discriminator is not necessary. The descriptors should be applied as a group to derive a single categorical score for each factor. Keep in mind, descriptors are written at the top of the broadband. You must determine the *degree* by which an employee's contributions have met or exceeded the factor level descriptors.



The pay pool evaluates the employee's self-assessment and your supervisor appraisal to determine if they provide enough information to support your recommended categorical score. If so, your recommended score will be sustained, and you should easily be able to explain why each categorical score was approved to your employee. If the documentation was insufficient, the pay pool likely had a conversation with you explaining why a different score was awarded. Be sure you discuss only the rationale behind the approved scores by the pay pool with the employee.

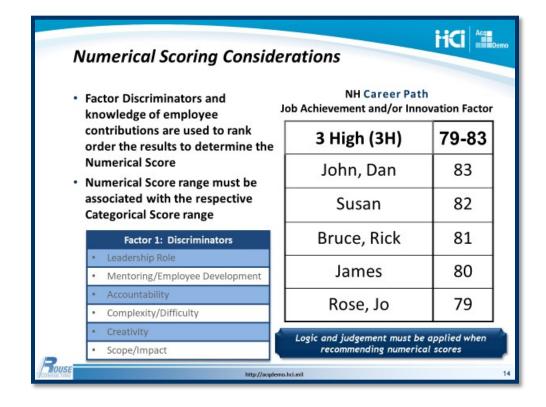
Next, we'll talk about the approved *numerical* scores for each factor.

Numerical Scores — Slide #13



The factor discriminators, together with an organization's annual goals, are the criteria used to determine numerical scores for each factor. To ensure employees understand how each factor is evaluated, it is often helpful to review the discriminators and discuss AcqDemo's definition of "impact to mission" for each factor with them.

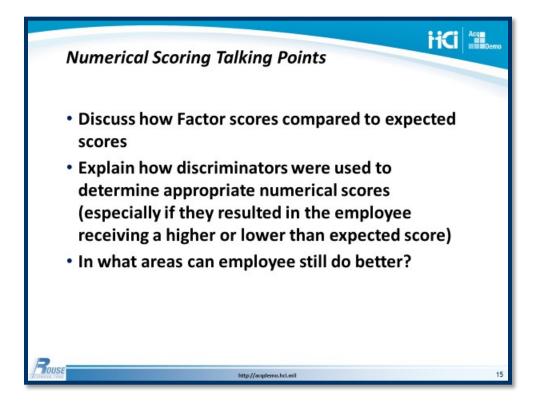
Numerical Scores — Slide #14



Using the assessment information provided by the supervisors, and the employees as well if provided, the pay pool considers the relative value of the contributions achieved by each member of the pay pool. Based on the relative value of those contributions made to the mission of the organization as evaluated against the factor discriminators, contributions are rank ordered and numerical scores assigned to each of the three factors for each employee.

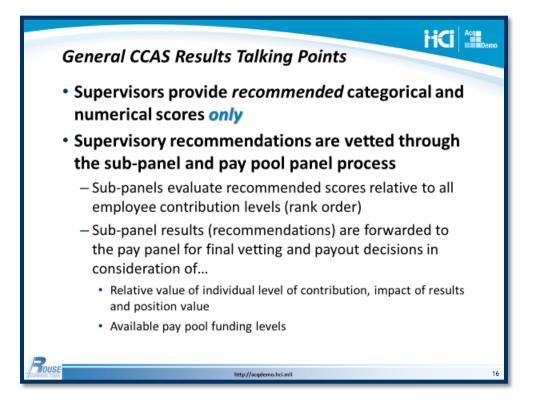
Note that multiple people can receive the same numerical score if the value of their contributions is considered to be equivalent. However, each employee's scores are confidential and cannot be discussed with other employees.

Numerical Scores — Slide #15



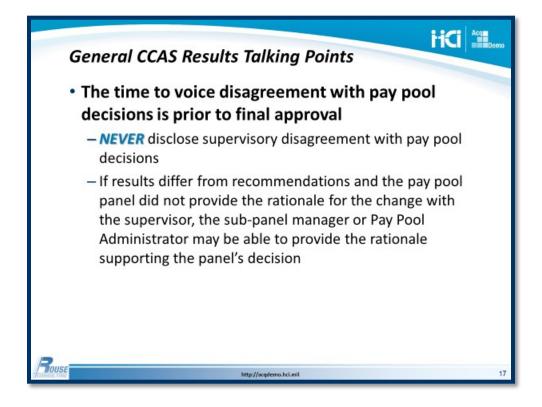
Again, remind the employee of the expected numerical score and then discuss what score was approved by the pay pool for each factor. Because pay pool data is confidential, you may not be able to explain exactly why your employee received the specific final numerical score, only that this is where he or she ranked among other employees who received the same categorical score.

CCAS Results — Slide #16

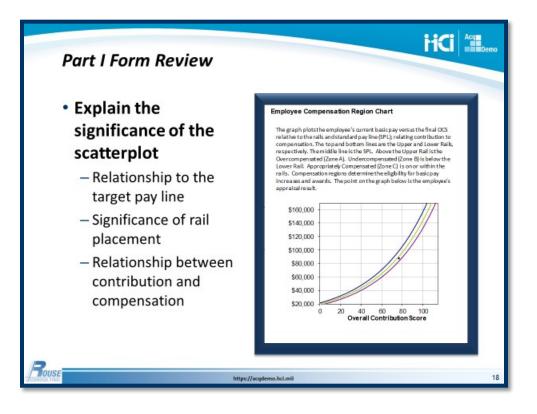


As a reminder, the scores you initially submitted to the pay pool were only recommendations for consideration. If proper documentation was submitted to support your recommendations, it is likely your suggested PAQL and categorical scores were approved. However, once your employees were evaluated across the entire pay pool, it is possible their numerical placement may have shifted, thereby changing your recommended factor score(s).

CCAS Results — Slide #17



Be sure to discuss only the rationale behind the approved scores by the pay pool with the employee. You are never to disclose initial recommendations if they differ from what the pay pool approved; nor are you to express your displeasure with their decision to your employee. The employee may file a grievance and ask for reconsideration if they feel the scores are not, in their opinion, accurate or appropriate.



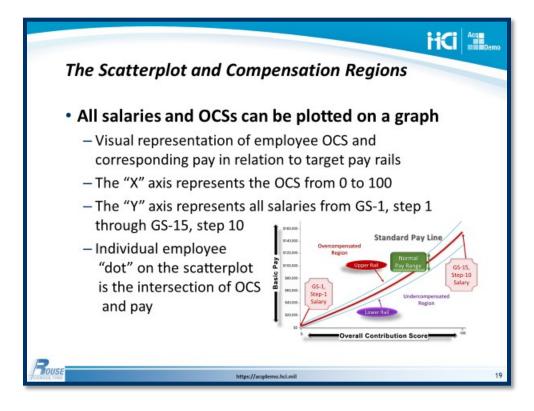
An employee's final OCS will plot into one of three zones, Overcompensated, Undercompensated or Appropriately Compensated.

Overcompensated: Scores plot above the rails. These are data points representing employees who are contributing at a level less than what is expected for their pay and are thus considered overcompensated.

Undercompensated: Scores plot below the rails. These data points represent employees who are contributing more than what is expected for their pay and are thus considered undercompensated.

Appropriately Compensated: Scores plotting between the rails. These data points represent employees who are appropriately compensated for their level of contribution.

A scatterplot is included on the Part 1 Form to show where the employee's final OCS plotted relative to the Standard Pay Line.

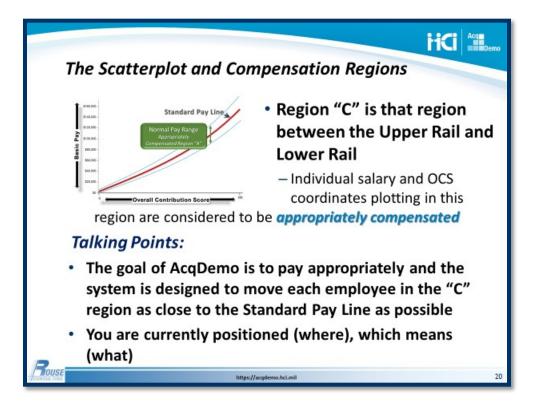


Discuss with your employee the components of the scatterplot.

Along the X axis are overall AcqDemo contribution scores ranging from 0 to 100. The Y axis begins with the GS-1, step 1 basic pay and ends with a salary encompassing the GS-15, step 10 basic pay.

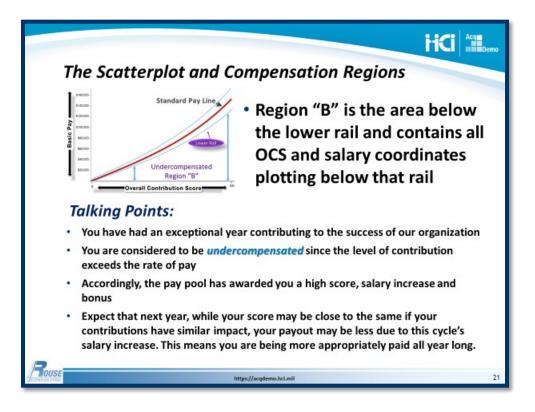
The relationship between the X and Y axes is the red line known as the Standard Pay Line (SPL). The Standard Pay Line is a natural logarithmic representation of the GS-1, step 1 basic pay amount and the GS-15, Step 10 basic pay amount.

Above and below the standard pay line are two additional "rails" known as the upper rail and the lower rail. Each of these rails are 8% in basic pay above and 8% below the Standard Pay Line and approximately 4 OCS points above and 4 OCS points below the SPL.



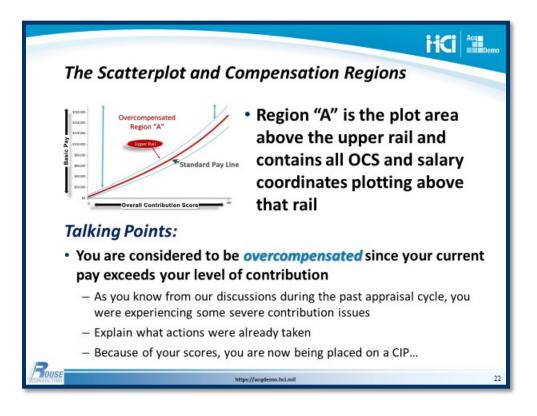
If your employee scored in the "C" region, it means the pay the employee is earning is appropriate for the level of contribution achieved during the CCAS cycle. That is the goal of AcqDemo's compensation system – to appropriately compensate employees for the work and level of contribution achieved.

Verify awards eligibility for employees scoring in this region in your organization's business rules so that you can confidently discuss award distribution with your employee.



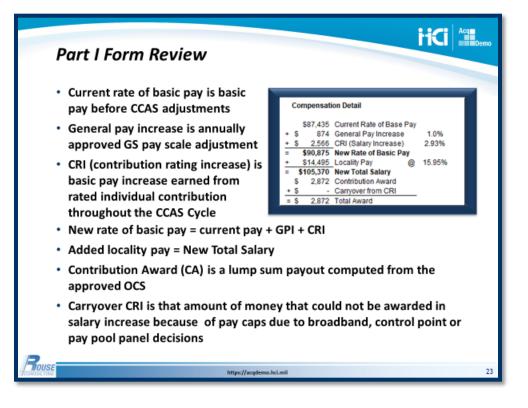
If your employee scored in the "B" region, it means they were undercompensated during the appraisal period relative to their level of contribution.

As such, it is likely they will be awarded a basic pay increase, or CRI. The amount of the increase will only go as high as the pay pool manager feels the employee will be able to meet and sustain in future years combined with the pay pool's ability to pay for salary increases within the overall CRI budget.



If your employee scored in the "A" region, it means that they were overcompensated during the appraisal period for their position and level of contribution. Unless there are extenuating circumstances, the employee will now be placed on a Contribution Improvement Plan, or "CIP." This is the one of the most serious adverse actions to be taken under AcqDemo, so be sure to seek guidance for next steps from your HR representative if you have not already done so.

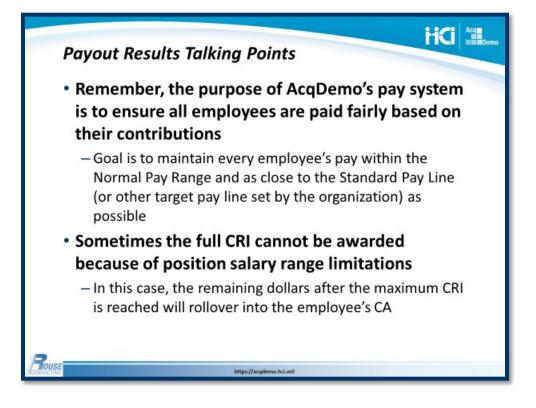
Final Payout Amounts — Slide #23



Eligibility for award distribution is determined by AcqDemo rules and your organization's business rules. Please check local policy to fully understand the requirements that apply to your pay pool.

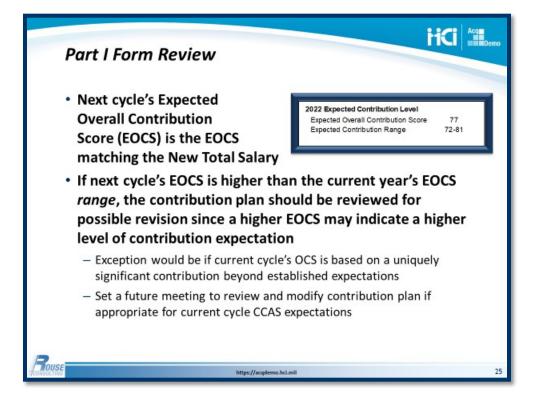
Discuss with your employee which payouts they were eligible for and what they received based on the payout criteria and available funding levels.

Final Payout Amounts — Slide #24



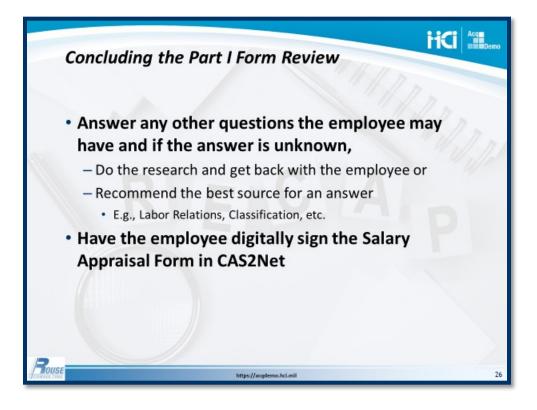
As previously stated, the purpose of AcqDemo's pay system is to ensure all employees are paid commensurate with their level of contribution and position value. To do so, every employee's pay should plot within the Normal Pay Range and as close to the Standard Pay Line as possible in accordance with their contributions and the value of their position.

Final Payout Results — Slide #25



When discussing next appraisal cycle's Expected Overall Contribution score with your employee, verify the corresponding categorical score area for that score. If it is higher than the previous range, the employee's contribution plan will need to be updated as quickly as possible to include the additional descriptor requirements that now need to be met in the current cycle. It is imperative that this happen quickly as one quarter of the appraisal cycle will have already passed before you are holding this appraisal feedback meeting.

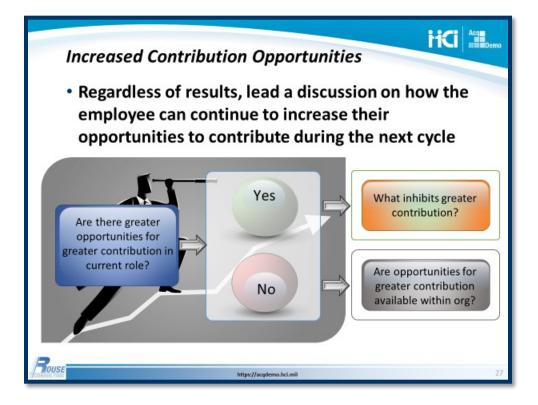
Final Payout Results — Slide #26



It is entirely within the realm of possibility you will not have answers to every question your employee may ask at this meeting and that is perfectly okay. Have a copy of your organization's business rules at the ready as many answers can be found there. If there are still open questions, simply indicate to the employee that you will research the information requested and get back with them as soon as you have the answers.

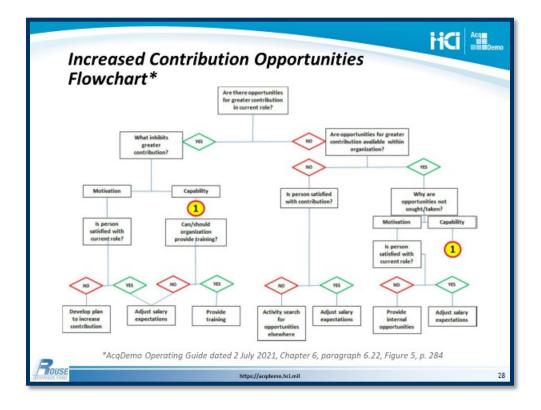
At the end of the meeting, remind the employee to digitally sign the Salary Appraisal Form in CAS2Net.

Increased Contribution Opportunities — Slide #27

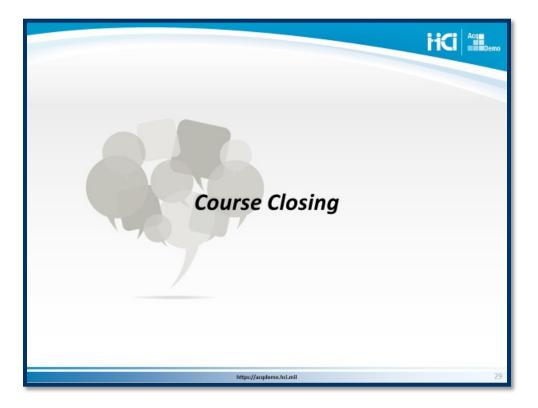


Regardless of results, there are always opportunities to increase contribution level. You are required to discuss these opportunities with your employees during this appraisal feedback meeting.

Increased Contribution Opportunities — Slide #28

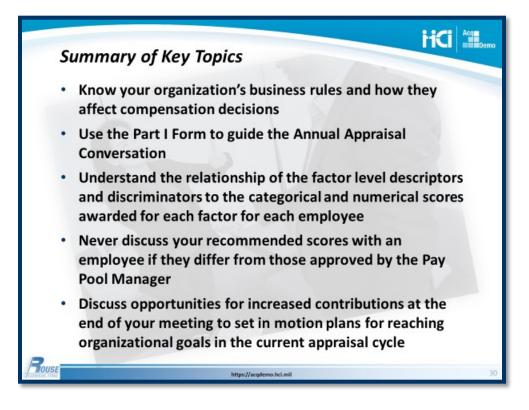


This discussion guide flowchart can be found in the AcqDemo Operating Guide in Chapter 6 and will help walk you through this part of your conversation.



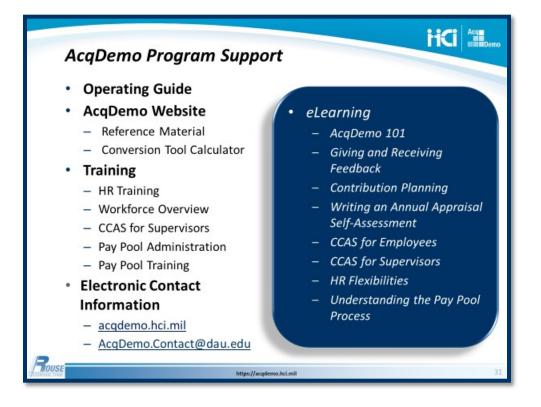
Let's review some of the main learning points from this course.

We'll focus on the key topics and share a list of additional training courses available to you. We'll also point you to other AcqDemo resources and available support.



Highlights of this course's discussion topics are:

- Know your organization's business rules and how they affect compensation decisions
- Use the Part I Form to guide the Annual Appraisal Conversation
- Understand the relationship of the factor level descriptors and discriminators to the categorical and numerical scores awarded for each factor for each employee
- Never discuss your recommended scores with an employee even if they differ from those approved by the Pay Pool Manager
- Discuss opportunities for increased contribution at the end of your meeting to set in motion plans for reaching organizational goals in the current and future appraisal cycles



The AcqDemo Program Office provides a variety of support. These include overall program operating procedures; a website that includes reference material, tutorials, and newsletters.

The AcqDemo website also provides training on subjects including HR training, Workforce Overview, CCAS for Supervisors, Pay Pool Administration, and Pay Pool Training.

For program details, visit the AcqDemo website: <u>acqdemo.dau.mil</u>. For questions, contact your local AcqDemo team or email the AcqDemo Program Office at: AcqDemo.Contact@hci.mil.

The following e-Learning courses are also available:

≻AcqDemo 101

≻Giving and Receiving Feedback

➤Contribution Planning

≻Writing an Annual Appraisal Self-Assessment

≻CCAS for Employees

CCAS for Supervisors

≻HR Flexibilities

>Understanding the Pay Pool Process

New courses will be developed, and existing courses updated as needed. Check the AcqDemo website for the latest training information.



Thank you for watching and please let us know how we can best support your continued success in AcqDemo.